

# SELF-ASSOCIATION OF DIPYRRINONES OBSERVED BY 2D-NOE NMR AND DIMERIZATION CONSTANTS CALCULATED FROM $^1\text{H}$ -NMR CHEMICAL SHIFTS

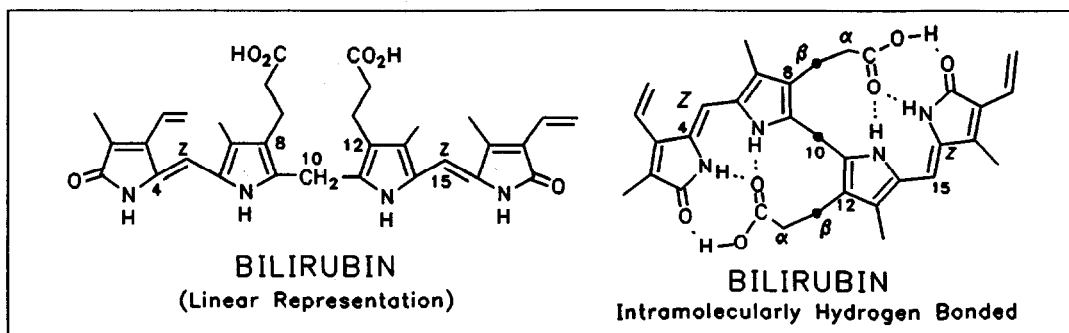
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**Abstract:** Methyl xanthobilirubinate (1) and methyl neoxanthobilirubinate (2) self associate, as seen by 2D-NOE NMR, to form hydrogen-bonded dimers in  $\text{CDCl}_3$ . Association constants  $K_A \approx 25,000$  (1) and  $12,000 \text{ M}^{-1}$  (2) at  $22^\circ\text{C}$  are derived from analysis of concentration-dependent N-H chemical shifts.

(4Z,15Z)-Bilirubin-IX $\alpha$ , the yellow pigment of jaundice<sup>1,2</sup> is a dicarboxylic acid composed of two dipyrinones conjoined by a  $-\text{CH}_2-$  group at C(10). Rotating the dipyrinones about the  $-\text{CH}_2-$  group brings their lactam and pyrrole N-H groups into sufficiently close proximity to the propionic  $\text{CO}_2\text{H}$  groups for conformation-stabilizing hydrogen bonding. In solvents, such as  $(\text{CH}_3)_2\text{SO}$ , which act as strong hydrogen bond acceptors, solvent molecules bind competitively to the N-H groups, displacing the  $\text{CO}_2\text{H}$  groups from direct intramolecular hydrogen bonding. When the propionic acid groups are esterified or relocated away from the center of the molecule, or replaced by alkyls, intramolecular hydrogen bonding is also considerably weakened, and in non-hydrogen bonding solvents the dipyrinone components tend to hydrogen bond intermolecularly.<sup>3,4</sup> The emerging picture for bilirubin and its derivatives and analogs is thus one where dipyrinone hydrogen bonding, either intramolecular or intermolecular or with solvent, plays a major role in determining pigment shape and state of aggregation, as well as solution and spectroscopic properties.<sup>4-6</sup>



Dipyrinone models equivalent to one-half of bilirubin are known to participate in hydrogen bonding: intermolecularly, in non-polar solvents such as chloroform; to solvent, in dimethylsulfoxide (Figure 1).<sup>3,4,7,8</sup>

Such hydrogen bonding has been detected by and analyzed from *N-H* chemical shifts in the  $^1\text{H-NMR}$ .<sup>4,5</sup> The intermolecular hydrogen bonding is extensive, incorporating amide-amide hydrogen bonds between the lactam groups as well as those between pyrrole *N-H* and lactam carbonyl.<sup>7-9</sup> This unique network of four hydrogen bonds is apparently the major contributor to the large self-association constant ( $K_A \approx 1700\text{ M}^{-1}$  at  $37^\circ\text{C}$  in  $\text{CHCl}_3$ ) determined by vapor phase osmometry, because  $K_A$  is reduced by a factor of  $\sim 10^3$  in dipyrinones where only the lactam amide-amide hydrogen bonding is possible (as in dipyrinones with the pyrrole nitrogen methylated).<sup>3,10</sup> In view of the importance of hydrogen bonding in dipyrinones and bilirubin analogs, we present (i) our results on the detection of self-association in xanthobilirubic acid methyl ester (1) and neoxanthobilirubic acid methyl ester (2) (Figure 2) by NOE NMR measurements, and (ii) an NMR method for determining the self-dimerization association constants ( $K_A$ ).

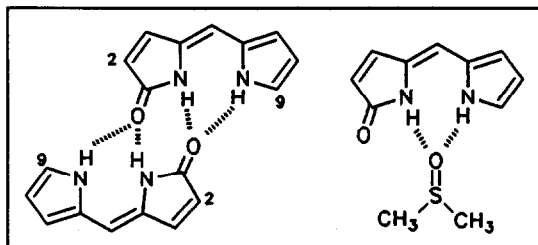


FIGURE 1. (Left) Intermolecularly hydrogen-bonded dipyrinone dimer. (Right) Dipyrinone hydrogen-bonded to dimethylsulfoxide.

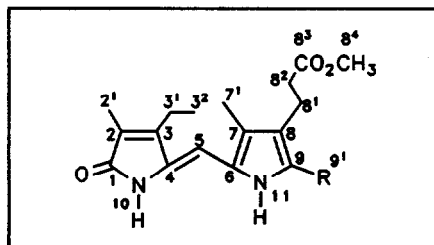


FIGURE 2. Methyl xanthobilirubinate (1,  $\text{R}=\text{CH}_3$ ); methyl neoxanthobilirubinate (2,  $\text{R}=\text{H}$ ).

## RESULTS AND DISCUSSION

**2-D NOE Correlations.** The 2D homonuclear NOE spectra of  $\text{CDCl}_3$  solutions of 1 and 2 are shown in Figures 3 and 4. The strong NOE's seen between the pyrrole and lactam *N-H*'s near  $\delta \sim 10.4$  and  $11.3$ , respectively, and between the hydrogen at  $\text{C}(5)$  ( $\delta \sim 6.2$ ) and the neighboring hydrogens at  $\text{C}(3^1)$  ( $\delta \sim 2.6$ ) and  $\text{C}(7^1)$  ( $\delta \sim 2.2$ ) are consistent with the pigment adopting a *Z*-configuration double bond at  $\text{C}(4)$  and with the pyrrole and lactam *NH* groups oriented in a *syn* periplanar or clinal conformation, as expected from earlier work.<sup>3,4,9</sup> Surprisingly perhaps, yet another NOE can be seen, a moderate NOE between the  $\text{C}(2)$  ( $\delta \approx 1.98$ ) and  $\text{C}(9)$  ( $\delta \approx 2.4$ ) methyl groups of 1 (2% NOE) and between the  $\text{C}(2)$  methyl ( $\delta \approx 1.98$ ) and  $\text{C}(9)$  hydrogen ( $\delta \approx 6.8$ ) of 2 (0.5% NOE). The large distances between these groups in 1 and in 2 preclude an *intramolecular* mechanism for the NOE, which can best be explained as emanating from an *intermolecular* mechanism where the relevant  $\text{CH}_3$  group and other hydrogens are brought into close spatial proximity through a dimeric structure (Figure 4) proposed in previous work on dipyrinone  $^1\text{H-NMR}$  chemical shifts<sup>3,4,8</sup> and supported by vapor phase osmometry.<sup>3,10</sup> The intermolecular NOE vanishes in  $(\text{CD}_3)_2\text{SO}$ , a solvent which is known to disrupt dipyrinone dimers, and is replaced by NOEs between the pyrrole and lactam *N-H*s and the small, residual methyl *C-H*'s of incompletely deuterated  $(\text{CD}_3)_2\text{SO}$  solvent; however, the NOEs of intramolecular origin persist. The overall picture is consistent with the two cases formulated earlier: in non-hydrogen bonding solvents, dipyrinones prefer to associate as hydrogen-bonded dimers; in dimethylsulfoxide, dipyrinones exist as monomers that are hydrogen-bonded to solvent.<sup>3,4,7-10</sup> The presently reported intermolecular NOE measurements thus provide the first *direct* experimental observation of both cases.

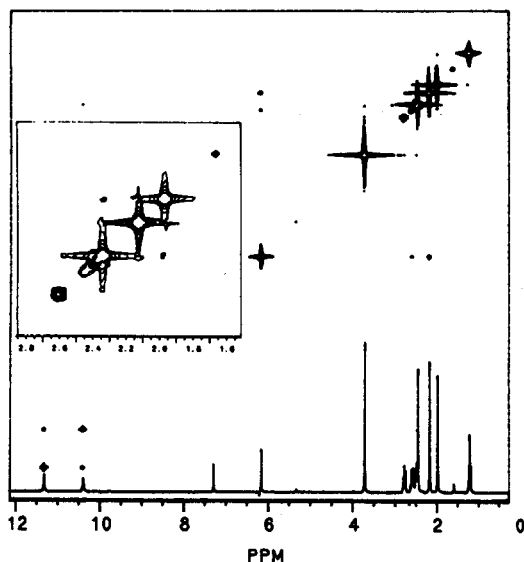


FIGURE 3. 2D-NOE plots for 1 in  $\text{CDCl}_3$  at  $21^\circ\text{C}$ . Concentrations were  $2.6 \times 10^{-2} \text{ M}$ .

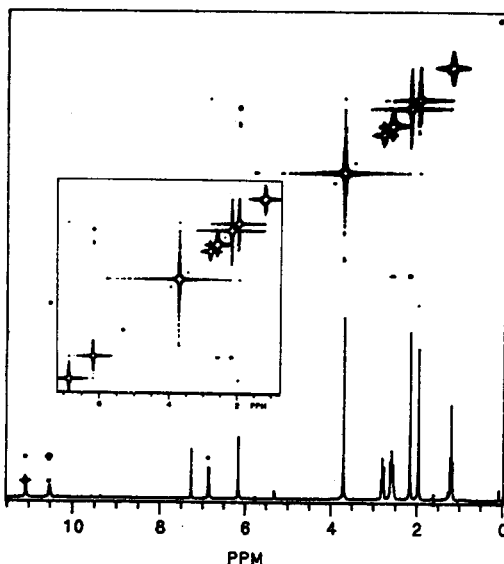


FIGURE 4. 2D-NOE plots for 2 in  $\text{CDCl}_3$  at  $21^\circ\text{C}$ . Concentrations were  $5.2 \times 10^{-2} \text{ M}$ .

**Computing  $K_A$ .** Dipyrinone self-association in non-polar solvents has been assessed qualitatively by examining the N-H chemical shifts<sup>4,8</sup> and quantitatively through vapor phase osmometry to determine the average molecular weight and  $K_A$ .<sup>3,10</sup> The sensitivity of the N-H chemical shifts ( $\delta_{\text{obs}}$ , Table 1) to hydrogen bonding from self-dimerization provides an alternative way to determine  $K_A$ . Either the lactam N-H or the pyrrole N-H signals may be used, but we found the latter to be more reliable because they are sharper, and thus  $\delta_{\text{obs}}$  can be measured with more accuracy and less difficulty at the lowest sample concentrations.<sup>11</sup>

For the equilibrium  $2\text{M} \rightleftharpoons \text{D}$ , the self-association,  $K_A$ , constant is described by:

$$K_A = \frac{[\text{D}]}{[\text{M}]^2}, \quad (1)$$

where the sum of monomer (M) and dimer (D) species is the initial concentration,  $[\text{M}]_0$

$$[\text{M}]_0 = [\text{M}] + 2[\text{D}]. \quad (2)$$

The observed N-H chemical shift,  $\delta_{\text{obs}}$ , is defined as (3) or (4)

$$\delta_{\text{obs}} = \delta_{\text{M}} + (\delta_{\text{D}} - \delta_{\text{M}}) \cdot \frac{2[\text{D}]}{[\text{M}]_0} \quad (3)$$

$$\delta_{\text{obs}} = \delta_{\text{M}} + (\delta_{\text{D}} - \delta_{\text{M}}) \cdot \left(1 - \frac{[\text{M}]}{[\text{M}]_0}\right), \quad (4)$$

where  $\delta_{\text{M}}$  and  $\delta_{\text{D}}$  are the chemical shifts of the monomer and dimer, respectively, and  $2[\text{D}]/[\text{M}]_0$  or  $(1 - [\text{M}]/[\text{M}]_0)$  is the mole fraction of dimer. Expressing equations (3) and (4) in terms of  $[\text{D}]$  and  $[\text{M}]$ , respectively, then substituting into equation (1) gives an expression for  $K_{\text{A}}$  in terms of  $[\text{M}]_0$ ,  $\delta_{\text{obs}}$ ,  $\delta_{\text{M}}$  and  $\delta_{\text{D}}$  — as seen in equation (5) or its logarithmic expression (6):

$$K_{\text{A}} = \frac{(\delta_{\text{D}} - \delta_{\text{M}})(\delta_{\text{obs}} - \delta_{\text{M}})}{2(\delta_{\text{D}} - \delta_{\text{obs}})^2 [\text{M}]_0} \quad (5)$$

$$\log \frac{2}{(\delta_{\text{D}} - \delta_{\text{M}})} + \log K_{\text{A}} + \log [\text{M}]_0 = \log(\delta_{\text{obs}} - \delta_{\text{M}}) - 2 \log(\delta_{\text{D}} - \delta_{\text{obs}}) \quad (6)$$

A plot of the right side of equation (6) vs  $\log [\text{M}]_0$  should give a straight line with intercept equal to  $\log K_{\text{A}} + \log 2 - \log (\delta_{\text{D}} - \delta_{\text{M}})$ .

Thus, in order to compute  $K_{\text{A}}$ , one must know  $\delta_{\text{M}}$  and  $\delta_{\text{D}}$  with good accuracy. When  $K_{\text{A}}$  is large, it is generally easier to extrapolate to an accurate value of  $\delta_{\text{D}}$  than to  $\delta_{\text{M}}$  because for the latter, the NMR measurement must be carried out at very high dilution, where instrument sensitivity can be the limiting factor. This is illustrated by the data of Table 1 and in Figure 5, where the chemical shifts of the pyrrole N-H ( $\delta_{\text{obs}}$ ) are plotted as a function of sample concentration ( $[\text{M}]_0$ ) in  $\text{CDCl}_3$  and  $(\text{CDCl}_2)_2$  solvents (in which the pigments have the same behavior). At the highest concentrations of dipyrinone,  $\delta_{\text{obs}}$  reaches a plateau near  $\delta \approx 10.4$  and  $\delta \approx 10.5$  for the pyrrole N-H of 1 and 2, respectively. At these concentrations the pigment is essentially completely dimerized. Similarly, for the lactam N-H,  $\delta_{\text{obs}}$  reaches a plateau near  $\delta \approx 11.3$  and  $\delta \approx 11.1$  for 1 and 2, respectively. In each case, the plateau value of  $\delta_{\text{obs}}$  approaches  $\delta_{\text{D}}$ , the N-H chemical shift for the pure dimer, D, and as the dipyrinone concentrations drop,  $\delta_{\text{obs}}$  approaches  $\delta_{\text{M}}$ , the N-H chemical shift of the pure monomer, M. Clearly (see Figure 5), extrapolating  $\delta_{\text{obs}}$  to  $\delta_{\text{M}}$  in the limit  $[\text{M}]_0 \rightarrow 0$  is more difficult than extrapolating to  $\delta_{\text{obs}}$  to  $\delta_{\text{D}}$  in the high concentration limit.

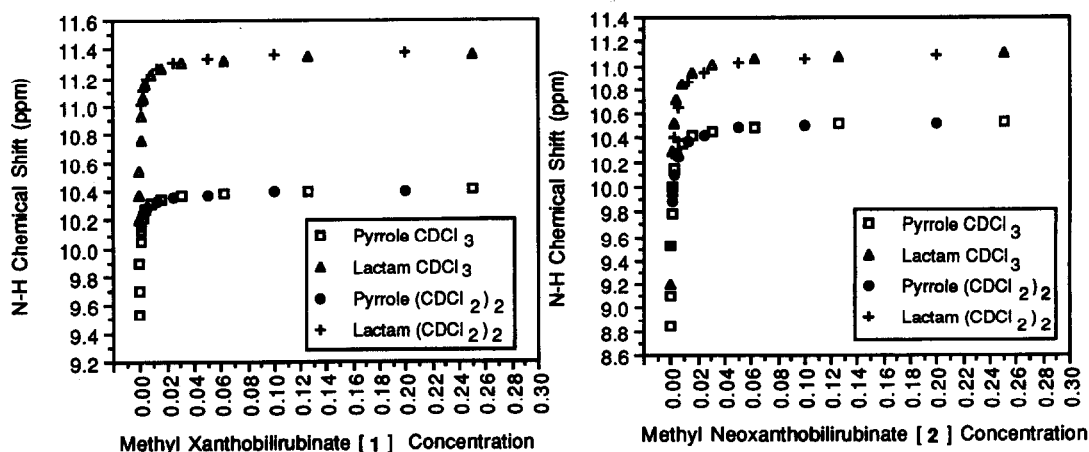


FIGURE 5. Plots of pyrrole and lactam N-H chemical shifts in  $\text{CDCl}_3$  and  $(\text{CDCl}_2)_2$  at  $22^\circ\text{C}$  vs dipyrinone concentration for [left] methyl xanthobilirubinate (1) and [right] methyl neoxanthobilirubinate (2).

**TABLE 1.** Influence of Methyl Xanthobilirubinate (1) and Methyl Neoxanthobilirubinate (2) Concentration on Pyrrole and Lactam N-*H* Chemical Shifts ( $\delta_{\text{obs}}$ ) in  $\text{CDCl}_3$  at 22°C.<sup>a</sup>

| Dipyrinone Conc. (M)   | Methyl Xanthobilirubinate (1) |                     | Methyl Neoxanthobilirubinate (2) |                      |
|------------------------|-------------------------------|---------------------|----------------------------------|----------------------|
|                        | Lactam N- <i>H</i>            | Pyrrole N- <i>H</i> | Lactam N- <i>H</i>               | Pyrrole N- <i>H</i>  |
| 0.250                  | 11.357                        | 10.410              | 11.100                           | 10.531               |
| 0.125                  | 11.344                        | 10.401              | 11.082                           | 10.517               |
| $6.25 \times 10^{-2}$  | 11.327                        | 10.390              | 11.050                           | 10.494               |
| $3.125 \times 10^{-2}$ | 11.301                        | 10.373              | 11.004                           | 10.462               |
| $1.563 \times 10^{-2}$ | 11.266                        | 10.350              | 10.938                           | 10.418               |
| $7.812 \times 10^{-3}$ | 11.219                        | 10.320              | 10.842                           | 10.356               |
| $3.906 \times 10^{-3}$ | 11.152                        | 10.277              | 10.711                           | 10.271               |
| $1.953 \times 10^{-3}$ | 11.061                        | 10.218              | 10.525                           | 10.151               |
| $9.766 \times 10^{-4}$ | 10.933                        | 10.136              | 10.291                           | 9.997                |
| $4.883 \times 10^{-4}$ | 10.765                        | 10.048              | 9.970                            | 9.783                |
| $2.441 \times 10^{-4}$ | 10.542 <sup>b</sup>           | 9.891 <sup>b</sup>  | 9.532 <sup>b</sup>               | 9.532                |
| $1.808 \times 10^{-4}$ | 10.371                        | 9.700               | 9.200 <sup>d</sup>               | 9.100 <sup>d</sup>   |
| $9.039 \times 10^{-5}$ | 10.200 <sup>c</sup>           | 9.535 <sup>c</sup>  | <sup>c,e</sup>                   | 8.843 <sup>c,e</sup> |

<sup>a</sup> N-*H* chemical shifts in  $\delta$  ppm downfield from  $(\text{CH}_3)_4\text{Si}$  at 300 and 500 MHz. <sup>b</sup> Limits of sensitivity at 300 MHz. <sup>c</sup> Limits of sensitivity at 500 MHz. <sup>d</sup>  $1.680 \times 10^{-4}$  M pigment. <sup>e</sup>  $8.402 \times 10^{-5}$  M pigment.

Extrapolation to  $\delta_D$  is achieved as follows. Rewriting equation (2) to solve for [D] then substituting for [D] in equation (1) and solving for [M] in the resulting quadratic equation gives the expression:

$$[\text{M}] = \frac{(1 + 8K_A [\text{M}]_0)^{1/2} - 1}{4K_A} \quad (7)$$

Simplifying equation (4) gives:

$$\delta_{\text{obs}} = \delta_D - (\delta_D - \delta_M) \cdot \frac{[\text{M}]}{[\text{M}]_0} \quad (8)$$

The expression for [M] in equation (7) is substituted for [M] in equation (8) to provide equation (9), an expression for  $\delta_{\text{obs}}$  in terms of  $\delta_D$ ,  $\delta_M$ ,  $K_A$  and  $[\text{M}]_0$ .

$$\delta_{\text{obs}} = \delta_D - (\delta_D - \delta_M) \cdot \frac{(1 + 8K_A [\text{M}]_0)^{1/2} - 1}{4 K_A [\text{M}]_0} \quad (9)$$

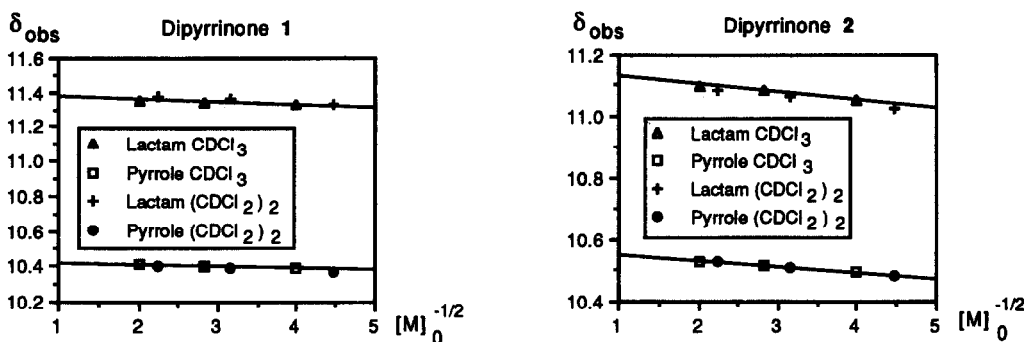


FIGURE 6. Plots of pyrrole and lactam N-H  $\delta_{\text{obs}}$  vs  $[M]_0^{-1/2}$  for extrapolating  $\delta_{\text{obs}}$  to  $\delta_D$  at large values of  $[M]_0$  (Table 1, Figure 5) for the pyrrole N-H of dipyrirrones 1 and 2 in  $\text{CDCl}_3$  and  $(\text{CDCl}_2)_2$  solvent at 22°C. In the limit  $[M]_0^{-1/2} \rightarrow 0$ ,  $\delta_{\text{obs}} \rightarrow \delta_D$ .

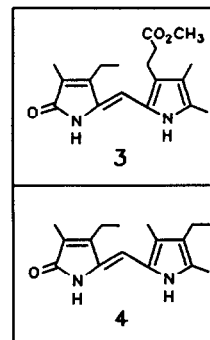
**Extrapolation to  $\delta_D$**  can be accomplished at the lowest reasonable temperature and in the limit of high  $[M]_0$ , i.e., when  $8 K_A [M]_0 \gg 1$ . Then the expression  $\{(1 + 8 K_A [M]_0)^{1/2} - 1\}$  approaches  $(8 K_A [M]_0)^{1/2}$ , and equation (9) may be rewritten as:

$$\delta_{\text{obs}} \approx \delta_D - (\delta_D - \delta_M) (2 K_A)^{-1/2} [M]_0^{-1/2} \quad (10)$$

A plot (Figure 6) of the experimental pyrrole N-H  $\delta_{\text{obs}}$  vs  $[M]_0^{-1/2}$  in  $\text{CDCl}_3$  or  $(\text{CDCl}_2)_2$  at 22°C for the largest values of  $[M]_0$  (Table 1), where the curves of Figure 5 start to flatten out, are found by a least squares procedure to fit the line  $\delta_{\text{obs}} = 10.430 - 0.00996 [M]_0^{-1/2}$  for 1, and  $\delta_{\text{obs}} = 10.569 - 0.0186 [M]_0^{-1/2}$  for 2. Within the constraints  $\delta_D - \delta_M = 0.00996 (2K_A)^{1/2}$  for 1 and  $\delta_D - \delta_M = 0.0186 (2K_A)^{1/2}$  for 2, the extrapolated pyrrole N-H chemical shift of the pure dimer is thus  $\delta_D = 10.430$  for 1 and  $\delta_D = 10.569$  for 2. Similarly, plots of the lactam N-H  $\delta_{\text{obs}}$  vs  $[M]_0^{-1/2}$  (Figure 5) in the limit of highest  $[M]_0$  gives straight lines:  $\delta = 11.387 - 0.0150 [M]_0^{-1/2}$  for 1 and  $\delta_{\text{obs}} = 11.151 - 0.0252 [M]_0^{-1/2}$  for 2. Thus the extrapolated lactam  $\delta_D$  for the pure dimer is  $\delta_D = 11.387$  for 1 and  $\delta_D = 11.151$  for 2.

The pyrrole and lactam N-H  $\delta_D$  values for 1 may be compared with those extrapolated for two dipyrirrones closely related to 1, methyl  $\psi$ -xanthobilirubinate (3) and kryptopyrromethenone (4). Using the same extrapolation method,  $\delta_D$  pyrrole N-H is found to be 10.425 for 3 and 10.437 for 4. The pyrrole and lactam N-H values of 3 and 4 are quite close to those of 1, and similar to those of 2.

**Extrapolation to  $\delta_M$**  can be accomplished in the limit of high dilution and/or high temperature. Plots of  $\delta_{\text{obs}}$  vs  $[M]_0$  (Figure 5) show a very steep convergence of  $\delta_{\text{obs}}$  toward  $\delta_M$  as  $[M]_0$  approaches zero. A plot of  $\log [M]_0$  vs  $\delta_{\text{obs}}$  should provide the needed scale expansion in the critical region of high dilution. The shape of such plots and the difficulties inherent in extrapolating  $\delta_{\text{obs}}$  to  $\delta_M$  in the region of high dilution is revealed in a series of theoretical curves (Figure 7) relating a computed  $\delta_{\text{obs}}$  to  $\log [M]_0$  for different sets of  $\delta_M$  and  $K_A$  values and a fixed  $\delta_D$  ( $\delta_D = 10.430$  for N-H of 1). The plots of Figure 7 reveal the sensitivity of  $\delta_M$  to  $K_A$  and, in particular, the difficulty of extrapolating  $\delta_{\text{obs}}$  to  $\delta_M$  when  $K_A$  is large. Thus, for larger



$K_A$  values the plots are steeper, and one must go to higher dilution to reach the foot of the curve where  $\delta_{\text{obs}}$  vs  $[M]_0$  approaches a horizontal line and where  $\delta_{\text{obs}} \rightarrow \delta_M$ .

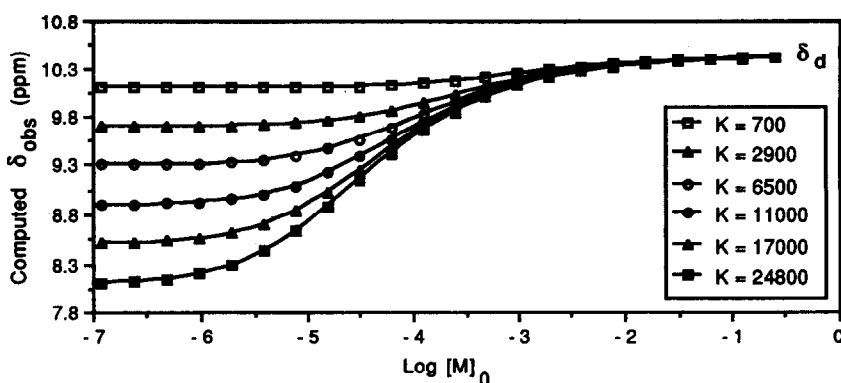


FIGURE 7. Theoretical plots of computed  $\delta_{\text{obs}}$  vs  $\log [M]_0$  at 22°C for a given value of  $\delta_D$  (10.430 ppm, pyrrole N-H of 1). The computed values of  $\delta_{\text{obs}}$  come from solving equation (8) for each value of  $[M]_0$  (e.g. Table 1).

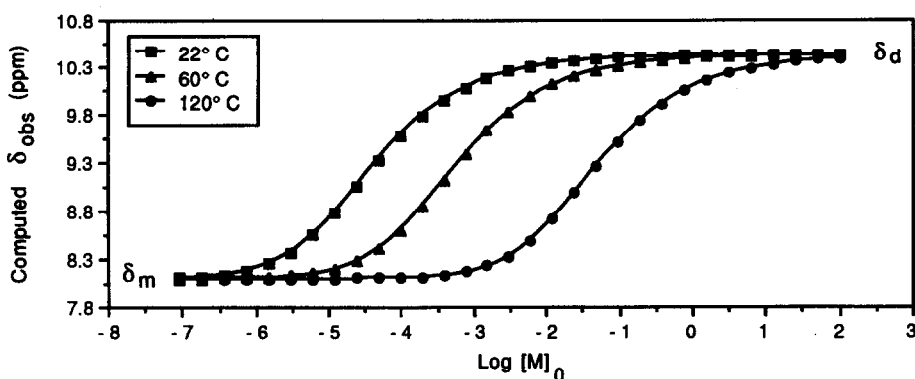


FIGURE 8. Theoretical plots of computed  $\delta_{\text{obs}}$  vs  $\log [M]_0$  for fixed  $\delta_M$  (8.1 ppm) and  $\delta_D$  (10.430 ppm) at 22°, 60° and 120 °C, where  $K_A$  takes on values 24,800, 1,500 and 20  $M^{-1}$ , respectively.

The behavior of the curves of Figure 7 with changing  $K_A$  also suggests that at higher temperatures, where  $K_A$  becomes reduced, extrapolating of  $\delta_{\text{obs}}$  to  $\delta_M$  should become easier. Support for this notion may be found in the curves of Figure 8 which relate computed  $\delta_{\text{obs}}$  vs  $\log [M]_0$  for  $K_A$  at 22°, 60° and 120°C for constant  $\delta_D$  (10.430 ppm) and  $\delta_M$  (8.1).<sup>12</sup> The plots show that a curve taken from Figure 7 ( $\text{—}$ ,  $K_A = 24,800$ ,  $\delta_M = 8.1$ ) at 22° starts its ascent at much lower monomer concentration than curves at higher temperatures. Thus, the higher temperature curves have a longer and flatter foot at low  $[M]$ . As expected,  $\delta_{\text{obs}} \rightarrow \delta_M$  at higher  $[M]_0$ , and thus it is clearly easier to determine  $\delta_M$  (extrapolate  $\delta_{\text{obs}}$  to  $\delta_M$ ) in the limit  $[M]_0 \rightarrow 0$  at high temperatures (where  $K_A$  is smaller).

Unfortunately, the relatively low boiling point of  $\text{CDCl}_3$  ( $\sim 61^\circ\text{C}$ ) thwarted our attempts to find  $\delta_M$  by lowering  $K_A$ . So we turned to a higher boiling (bp  $147^\circ\text{C}$ ) solvent,  $(\text{CDCl}_2)_2$ , with solvation properties much like  $\text{CDCl}_3$  for measurements at higher temperatures, up to  $120^\circ\text{C}$ . It may be noted that plots of experimental  $\delta_{\text{obs}}$  vs  $[M]_0$  for 1 and 2 (Figures 5 and 6) at  $22^\circ\text{C}$  in these two solvents are identical. And as shown in

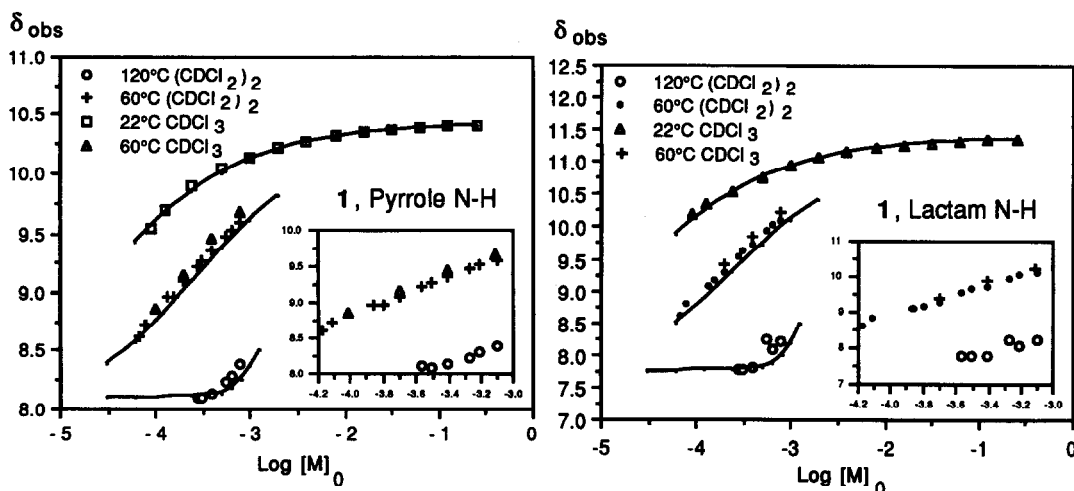
Figure 9, plots of experimental  $\delta_{\text{obs}}$  vs  $\log [M]_0$  for 1 in  $\text{CDCl}_3$  and  $(\text{CDCl}_2)_2$  are identical, within experimental error, over the measurable range of  $[M]_0$  at  $60^\circ\text{C}$  (see Table 2). Consequently, we feel comfortable using  $\delta_M$ ,  $\delta_D$  data from  $(\text{CDCl}_2)_2$  and  $\text{CDCl}_3$  interchangeably. The plots of Figure 9 clearly indicate the temperature effect on the monomer  $\rightleftharpoons$  dimer equilibrium of 1: for a given  $[M]_0$ ,  $[M]$  increases as the temperature

TABLE 2. Similarity of Pyrrole and Lactam N-H Chemical Shifts ( $\delta_{\text{obs}}$ ) in (a)  $(\text{CDCl}_2)_2$  and (b)  $\text{CDCl}_3$  Solvents.

| Dipyrrinone 1<br>Concentration (M) | Pyrrole Chemical shift (ppm) at |                         |                        | Lactam Chemical shift (ppm) at |                         |                        |
|------------------------------------|---------------------------------|-------------------------|------------------------|--------------------------------|-------------------------|------------------------|
|                                    | $60^\circ\text{C}$ (a)          | $120^\circ\text{C}$ (a) | $60^\circ\text{C}$ (b) | $60^\circ\text{C}$ (a)         | $120^\circ\text{C}$ (a) | $60^\circ\text{C}$ (b) |
| 0.000786076                        | 9.588                           | 8.395                   |                        | 10.103                         | 8.218                   |                        |
| 0.000778797                        |                                 |                         | 9.677                  |                                |                         | 10.222                 |
| 0.000623101                        | 9.523                           | 8.292                   |                        | 10.035                         | 8.073                   |                        |
| 0.000541266                        | 9.480                           | 8.230                   |                        | 9.962                          | 8.230                   |                        |
| 0.000393038                        | 9.362                           | 8.130                   |                        | 9.736                          | 7.803                   |                        |
| 0.000389399                        |                                 |                         | 9.450                  |                                |                         | 9.866                  |
| 0.000311551                        | 9.266                           | 8.096                   |                        | 9.644                          | 7.764                   |                        |
| 0.000270633                        | 9.225                           | 8.103                   |                        | 9.546                          | 7.762                   |                        |
| 0.000196519                        | 9.094                           |                         |                        | 9.300                          |                         |                        |
| 0.000194699                        |                                 |                         | 9.155                  |                                |                         | 9.410                  |
| 0.000155775                        | 8.960                           |                         |                        | 9.164                          |                         |                        |
| 0.000135317                        | 8.961                           |                         |                        | 9.092                          |                         |                        |
| 0.00009735                         |                                 |                         | 8.863                  |                                |                         |                        |
| 0.000077888                        | 8.729                           |                         |                        | 8.810                          |                         |                        |
| 0.000067659                        | 8.624                           |                         |                        | 8.624                          |                         |                        |
| Dipyrrinone 2<br>Concentration     | Pyrrole Chemical shift (ppm) at |                         |                        | Lactam Chemical shift (ppm) at |                         |                        |
|                                    | $60^\circ\text{C}$ (a)          | $120^\circ\text{C}$ (a) | $60^\circ\text{C}$ (b) | $60^\circ\text{C}$ (a)         | $120^\circ\text{C}$ (a) | $60^\circ\text{C}$ (b) |
| 0.022516                           |                                 | 9.434                   |                        |                                | 9.434                   |                        |
| 0.011258                           |                                 | 9.108                   |                        |                                | 9.108                   |                        |
| 0.005629                           |                                 | 8.831                   |                        |                                | 8.731                   |                        |
| 0.0028145                          |                                 | 8.590                   |                        |                                | 8.462                   |                        |
| 0.00140725                         |                                 | 8.318                   |                        |                                | 8.380                   |                        |
| 0.001124834                        |                                 |                         | 9.477                  |                                |                         | 9.477                  |
| 0.000976563                        |                                 |                         |                        |                                |                         |                        |
| 0.000706954                        | 9.050                           | 8.137                   |                        | 8.838                          | 7.417                   |                        |
| 0.000562417                        |                                 |                         | 9.175                  |                                |                         | 9.039                  |
| 0.000538079                        | 8.878                           | 8.065                   |                        | 8.567                          | 7.312                   |                        |
| 0.000488282                        |                                 |                         |                        |                                |                         |                        |
| 0.000353477                        | 8.720                           | 7.974                   |                        | 8.321                          | 7.162                   |                        |
| 0.000281209                        |                                 |                         | 8.851                  |                                |                         | 8.539                  |
| 0.00026904                         | 8.565                           | 7.937                   |                        | 8.077                          | 7.112                   |                        |
| 0.000244141                        |                                 |                         |                        |                                |                         |                        |
| 0.000176738                        | 8.399                           | 7.862                   |                        | 7.826                          |                         |                        |
| 0.000140604                        |                                 |                         | 8.547                  |                                |                         | 8.046                  |
| 0.00013452                         | 8.274                           |                         |                        | 7.634                          |                         |                        |
| 0.000122071                        |                                 |                         |                        |                                |                         |                        |
| 0.000088369                        | 8.151                           |                         |                        | 7.442                          |                         |                        |



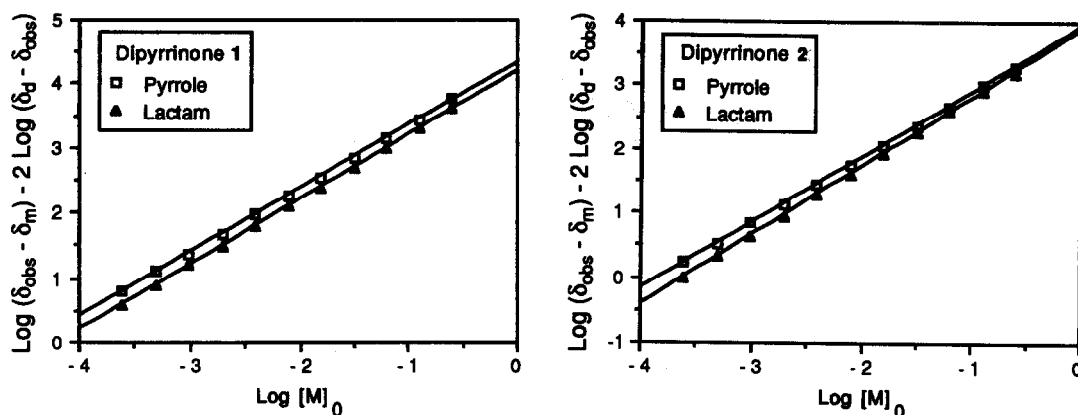
increases and hence  $\delta_{\text{obs}}$  decreases and approaches a limiting value ( $\delta_{\text{M}}$ ). At 120°C the experimental points begin to approach, then fall on, a horizontal line near  $\delta=8.1$ . Figure 9 also illustrates the difficulty in extrapolating  $\delta_{\text{obs}}$  to  $\delta_{\text{M}}$  at lower temperatures where the equilibrium favors dimer. At 22°C the measureable  $\delta_{\text{obs}}$  at the limit of instrument (500 MHz NMR) sensitivity is still far from  $\delta_{\text{M}}$  and hence far from the flat region of the curve where  $\delta_{\text{M}}$  can be extrapolated most reliably.



**FIGURE 9.** Experimental points ( $\delta_{\text{obs}}$  vs  $[M]_0$ ) for the pyrrole (left) and lactam (right) N-H of 1 at 22° and 60°C in  $\text{CDCl}_3$  and at 60° and 120°C in  $(\text{CDCl}_2)_2$ . The lines are the computed curves for  $\delta_{\text{obs}}$  vs  $[M]_0$  when  $\delta_{\text{M}} = 8.1$ ,  $\delta_{\text{D}} 10.43$  and is 24,800 at 22°, 1,500 at 60° and 20 at 120°C. The inset is an expansion of the  $\delta_{\text{obs}}$  vs  $[M]_0$  plot at low sample concentration. At 22°C, the two points below  $\log [M]_0 \approx -3.6$  were determined at 500 MHz and represent the sensitivity limits for the instrument for detecting the pyrrole N-H of 1.

Reassuringly, the experimental points of Figure 9 fall on the theoretical curves relating computed  $\delta_{\text{obs}}$  to  $\log [M]_0$  for  $\delta_{\text{M}} = 8.1$ ,  $\delta_{\text{D}} = 10.430$  and  $K_{\text{A}}$  values for 22°, 60° and 120°C. Plots and curves similar to those of Figure 9 are found for the lactam N-H of 1 (Figure 9) and for the pyrrole and lactam N-H's of 2. Again, since 1 and 2 have large  $K_{\text{A}}$  values at 22°C and the dilution required for  $\delta_{\text{obs}} \rightarrow \delta_{\text{M}}$  is outside the range of even the highest field NMR instruments, we plot experimental  $\delta_{\text{obs}}$  vs  $\log [M]_0$  at 120°C in  $(\text{CDCl}_2)_2$  solvent in order to extrapolate  $\delta_{\text{obs}}$  to  $\delta_{\text{M}}$ . The extrapolated  $\delta_{\text{M}}$  values for the pyrrole N-H chemical shifts of 1 and 2 are 8.10 and 7.75 ppm, respectively. And the extrapolated  $\delta_{\text{M}}$  values for the lactam N-H chemical shifts of 1 and 2 are 7.75 and 7.00 ppm, respectively.

**Extrapolation to  $K_{\text{A}}$**  can be achieved from the variation of  $\delta_{\text{obs}}$  with  $[M]_0$  expressed in equation (6). Thus, using the experimental data of Table 1 and the extrapolated values for  $\delta_{\text{D}}$  and  $\delta_{\text{M}}$ , plots of the right side of equation (6), viz.  $\{\log(\delta_{\text{obs}} - \delta_{\text{M}}) - 2 \log(\delta_{\text{D}} - \delta_{\text{obs}})\}$  vs  $\log [M]_0$  gives straight lines (Figure 10) with slope=1 and intercepts equal to  $\{\log 2/(\delta_{\text{D}} - \delta_{\text{M}}) + \log K_{\text{A}}\}$ . From the intercept,  $K_{\text{A}}$  at 22°C may be calculated for 1 and 2. The discrepancy between the  $K_{\text{A}}$  values derived from  $\delta_{\text{obs}}$  for the pyrrole and lactam N-H (Table 1) is probably related to a greater inaccuracy in determining  $\delta_{\text{obs}}$  for the broader lactam N-H resonance. We estimate (based on reproducibility) that our  $K_{\text{A}}$  values are only good to  $\pm 10\%$ , and the values derived from  $\delta_{\text{obs}}$  for lactam N-H resonances at 22°C may have a greater error; however, some measurements gave results within 3-4% of each other.



**FIGURE 10.** Plots of  $\log(\delta_{\text{obs}} - \delta_{\text{M}}) - 2 \log(\delta_{\text{D}} - \delta_{\text{obs}})$  vs  $\log [M]_0$  for the pyrrole and lactam N-H signals of dipyrinones 1 and 2 in  $\text{CDCl}_3$  solvent at  $22^\circ\text{C}$ . The values of  $\delta_{\text{D}}$  and  $\delta_{\text{M}}$  are from Figures 5 and 8, respectively;  $\delta_{\text{obs}}$  and  $[M]_0$  are from Table 1. The best fit lines for the pyrrole N-Hs have intercepts = 4.327 and 3.919 for 1 and 2, respectively; hence  $K_{\text{A}} = 24,800 \text{ M}^{-1}$  for 1 and  $11,700 \text{ M}^{-1}$  for 2. The best fit lines for the lactam N-Hs have intercepts 4.193 and 3.879 for 1 and 2, respectively; hence  $K_{\text{A}} = 28,600 \text{ M}^{-1}$  for 1 and  $15,700 \text{ M}^{-1}$  for 2.

Similar plots for  $\delta_{\text{obs}}$  vs  $[M]_0$  determined at  $37^\circ$  and  $52^\circ$  gave straight line plots similar to those of Figure 10 when the same extrapolated  $\delta_{\text{M}}$  and  $\delta_{\text{D}}$  values<sup>12</sup> were used in equation (6). These are summarized in Table 3 along with thermodynamic parameters for the monomer  $\rightleftharpoons$  dimer association equilibrium determined in the usual way. It is satisfying to note that the extrapolated  $K_{\text{A}}$  for 1 at  $37^\circ\text{C}$  corresponds reasonably well to value ( $1700 \text{ M}^{-1}$ ) determined by vapor phase osmometry in  $\text{CHCl}_3$ .<sup>3,10</sup> Interestingly, the  $K_{\text{A}}$  for 2 is smaller than that of 1 by a factor of  $\sim 40\%$ . The C(9)  $\text{CH}_3$  of 1 apparently exerts no non-bonded steric destabilization in the dimer and even appears to exert a greater stabilizing influence than a hydrogen at C(9). The reasons for this are unclear; however, molecular dynamics calculations (SYBYL; Evans & Sutherland ESV-10<sup>+</sup> workstation) on the relative stability of the dimer vs two monomers indicates that the dimer of 1 is relatively more stable (2 kcal/mole) than that of 2 — in good agreement with the differences in experimental  $\Delta H_{\text{eq}}^\circ$  values for 1 and 2.

**TABLE 3.** Summary of  $K_{\text{A}}$  Values for the Monomer  $\rightleftharpoons$  Dimer Equilibrium of Dipyrinones 1 and 2 in  $\text{CDCl}_3$  or  $(\text{CDCl}_3)_2$  at  $22^\circ$ ,  $37^\circ$  and  $52^\circ\text{C}$  as Determined from Extrapolated Pyrrole and Lactam N-H Chemical Shifts for Pure Monomer ( $\delta_{\text{M}}$ ) and Dimer ( $\delta_{\text{D}}$ ). Thermodynamic Parameters for the Equilibrium ( $\Delta G_{\text{eq}}^\circ$ ,  $\Delta H_{\text{eq}}^\circ$ ,  $\Delta S_{\text{eq}}^\circ$ ) are Determined from the Temperature Dependence of  $K_{\text{A}}$ .

| Parameter Determined for/from:                           | Methyl Xanthobilirubinate (1) |            | Methyl Neoxanthobilirubinate (2) |            |
|----------------------------------------------------------|-------------------------------|------------|----------------------------------|------------|
|                                                          | Pyrrole N-H                   | Lactam N-H | Pyrrole N-H                      | Lactam N-H |
| $\delta_{\text{D}}$ (ppm)                                | 10.43                         | 11.39      | 10.57                            | 11.15      |
| $\delta_{\text{M}}$ (ppm)                                | 8.10                          | 7.75       | 7.75                             | 7.10       |
| $K_{\text{A}}$ ( $\text{M}^{-1}$ ) at $22^\circ\text{C}$ | 24,800                        | 28,600     | 11,700                           | 15,700     |
| $K_{\text{A}}$ ( $\text{M}^{-1}$ ) at $37^\circ\text{C}$ | 4,900                         | 5,100      | 3,200                            | 3,600      |
| $K_{\text{A}}$ ( $\text{M}^{-1}$ ) at $52^\circ\text{C}$ | 1,800                         | 1,800      | 1,300                            | 1,200      |
| $\Delta H_{\text{eq}}^\circ$ (kcal/mole)                 | -16.85                        | -17.58     | -14.13                           | -16.39     |
| $\Delta S_{\text{eq}}^\circ$ (cal/ $^\circ\text{mole}$ ) | -37.2                         | -39.4      | -29.4                            | -36.5      |

## SUMMARY

Self-association of dipyrinones can be detected by  $^1\text{H}$ -NMR NOE. The dimerization association constant ( $K_A$ ) can be determined from an analysis of NMR chemical shifts of the pyrrole and lactam N-H. First, N-H chemical shift values for the pure dimer ( $\delta_D$ ) and pure monomer ( $\delta_M$ ) are obtained by extrapolation methods. Then, these parameters and experimentally-determined N-H chemical shifts ( $\delta_{\text{obs}}$ ) for a range of dipyrinone concentrations ( $[\text{M}]_0$ ) are plotted in equation (6) to extrapolate  $K_A$ s. The extrapolated data for the dipyrinones of this study are summarized in Table 4.

TABLE 4. Extrapolated values of  $\delta_D$ ,  $\delta_M$  and  $K_A$  for Dipyrinones 1-4 in  $\text{CDCl}_3$  at  $22^\circ\text{C}$ .

| Dipyr-<br>rinone | $\delta_D$ (Dimer) ppm |            | $\delta_M$ (Monomer) ppm |                   | $K_A$ ( $M^{-1}$ ) Determined from |            |
|------------------|------------------------|------------|--------------------------|-------------------|------------------------------------|------------|
|                  | Pyrrole N-H            | Lactam N-H | Pyrrole N-H              | Lactam N-H        | Pyrrole N-H                        | Lactam N-H |
| 1                | 10.43                  | 11.39      | 8.10                     | 7.75              | 24,800                             | 28,600     |
| 2                | 10.56                  | 11.15      | 7.75                     | 7.00              | 11,700                             | 15,700     |
| 3                | 10.43                  | 11.40      | 8.10 <sup>a</sup>        | 7.75 <sup>a</sup> | 23,000                             | 24,000     |
| 4                | 10.44                  | 11.42      | 8.10 <sup>a</sup>        | 7.75 <sup>a</sup> | 23,000                             | 22,000     |

<sup>a</sup> Assumed from the data for 1.

## EXPERIMENTAL PART

All NMR spectra were run on a GE QE-300 FT spectrometer in either deuteriochloroform (99.9%  $d_1$ ) or dimethylsulfoxide- $d_6$  (99.9%  $d_6$ ), both from Aldrich. Infrared spectra were run on a Perkin-Elmer 1610 FT-IR spectrophotometer. All UV-visible absorption spectra were run on a Perkin-Elmer model 3840 diode array instrument. Analytical thin layer chromatography (tlc) was carried out on J.T. Baker silica gel 1B-F plates (125  $\mu$  layer). Column chromatography was carried out on 32-63  $\mu$  activated silica gel for medium pressure chromatography (M. Woelm). High performance liquid chromatographic (HPLC) analyses used a detector set at 410 nm and a Beckman-Altex Ultrasphere-IP 5  $\mu\text{m}$  C-18 ODS column (25 x 0.46 cm), with a Beckman ODS precolumn (4.5 x 0.46 cm) and a flow of 0.75 ml/minute of 0.1 M di-n-octylamine acetate in 5% aq. methanol as eluent. Pentane-2,4-dione, ethyl acetoacetate, ethyl acrylate, acetic acid, ethyl acetate, benzene, acetone and hexane were from Aldrich. Spectral grade methanol, chloroform, benzene and dimethylsulfoxide were from Fisher. Methanol (HPLC grade) was from Fisher.

**Methyl Xanthobilirubinate (1)** was prepared and purified as described previously,<sup>13</sup> in 72% yield. It had, m.p.  $215\text{--}217^\circ\text{C}$  (Lit.<sup>13</sup> mp  $217\text{--}220^\circ\text{C}$ )

UV-vis:  $\lambda_{\text{max}}$  406,  $\epsilon$  34,000 ( $\text{CHCl}_3$ );  $\lambda_{\text{max}}$  411,  $\epsilon$  37,700 ( $\text{CH}_3\text{OH}$ );  $\lambda_{\text{max}}$  412,  $\epsilon$  34,400 (DMSO).

IR (film):  $\nu$  = 3346, 3161, 2965, 1736, 1676, 1632, 1267, 1175  $\text{cm}^{-1}$ .

$^1\text{H}$ -NMR ( $\text{CDCl}_3/\text{TMS}$ )  $\delta$ : 1.17 (t, 3H, 7.5 Hz), 1.94 (s, 3H), 2.14 (s, 3H), 2.41 (s, 3H), 2.46 (t, 2H,  $J$  = 7.2 Hz), 2.54 (q, 2H,  $J$  = 7.5 Hz), 2.74 (t, 2H,  $J$  = 7.2 Hz), 3.68 (s, 3H), 6.13 (s, 1H), 10.41 (s, 1H), 11.36 (s, 1H) ppm.

$^{13}\text{C}$ -NMR ( $\text{CDCl}_3/\text{TMS}$ )  $\delta$ : 8.53(q), 9.60(q), 11.57(q), 15.08(q), 17.96(t), 19.88(t), 35.13(t), 51.56(q), 101.04(d), 119.03(s), 122.40(s), 122.43(s), 124.57(s), 127.10(s), 131.65(s), 148.29(s), 173.59(s), 173.92(s).

**Methyl Neoxanthobilirubinate (2)** was prepared from 1 as follows.

Methyl xanthobilirubinate (1) was converted smoothly in one step to mesobiliverdin-XIII $\alpha$  dimethyl ester<sup>14</sup> via and oxidative self-coupling reaction, as reported previously. Scission of the verdin was accomplished as described by Manitto<sup>15</sup> for biliverdin-IX $\alpha$  dimethyl ester. Thus, thiobarbituric acid (50 mg) was added to a stirred solution of mesobiliverdin-XIII $\alpha$  dimethyl ester (140 mg, 0.23 mmol) dissolved in ethyl acetate (300 mL). The reaction was carried out in the dark at room temperature under nitrogen. After 6 hours, the solvent was removed (roto-vap) and the residue was redissolved in a minimal amount of hot chloroform. A volume of hexane (equal to 10 x the volume of chloroform) was added and a violet precipitate

was removed by filtration, leaving a yellow solution containing **2**. The solvent was then evaporated and the residue was taken up in a minimum volume of chloroform. The precipitation cycle was repeated three times.

m.p. 170-172°C (Lit.<sup>16</sup> mp 174°C)

UV-vis:  $\lambda_{\max}$  388 nm,  $\epsilon$  29,000 (CHCl<sub>3</sub>);  $\lambda_{\max}$  395 nm,  $\epsilon$  27,500 (CH<sub>3</sub>OH);  $\lambda_{\max}$  390 nm,  $\epsilon$  31,000 (DMSO).

IR (KBr)  $\nu$ : 3366, 3147, 2973, 1227, 1654, 1619, 1278, 1256, 1170, 984, 812, 706 cm.

<sup>1</sup>H-NMR (CDCl<sub>3</sub>/TMS)  $\delta$ : 1.160 (t, 3H, J=7.5 Hz), 1.927 (s, 3H), 2.124 (s, 3H), 2.555 (q, 2H, J=7.5 Hz), 2.565 (t, 2H, J=7.2 Hz), 2.763 (t, 2H, J=7.2 Hz), 3.667 (s, 3H), 6.120 (s, 1H), 6.806 (d, 1H, J=1.5 Hz) 10.285 (bs, 1H), 10.733 (bs, 1H) ppm.

<sup>13</sup>C-NMR (CDCl<sub>3</sub>/TMS)  $\delta$ : 8.09(q), 9.40(q), 14.91(q), 17.98(t), 20.76(t), 34.88(t), 51.56(q), 101.06(d), 120.65(d), 122.70(s), 123.44(s), 123.45(s), 124.46(s), 128.62(s), 148.49(s), 173.71(s), 174.33(s) ppm.

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